

## **Data Privacy Policy**

This Privacy Notice explains how we use any personal information we collect about you.

P&P Invest Ltd is a registered Data Controller under the General Data Protection Regulation 2018; registration number ZAZ00326. Our registration can be found via the [Information Commissioner's website](#).

### **Why do we need to collect and use your personal data?**

The primary legal basis that we intend to use for the processing of your data is essential for us to be able to carry out the services that you require from us effectively. Without collecting your personal data, we would be unable to fulfil our legal and regulatory obligations. We will obtain your explicit consent to collect and process this information.

### **What information do we collect about you?**

We collect information about you when you engage us for financial planning/mortgage advice, this information will relate to your personal and financial circumstances. It may also include special categories of personal data such as data about your health, if this is necessary, for the provision of our services. Information you provide for us to enable us to make appropriate investment recommendations may include:

- Name, address, date of birth, National Insurance number, email address, health details if required, personal details of your family members if provided; and/or
- Where you are a corporate customer, details about your staff (including, where applicable, their family members), and may include information obtained from third parties; and/or
- Where you are a trustee of a trust, the details of all trustees and other beneficial owners, settlors and protectors as we deem appropriate;
- Non-personal data may include your assets, your income and expenditure details and your portfolio details, including investments and plans

### **How will we use the information about you?**

We collect information about you to provide you with the services for which you engage us.

### **Who might we share your information with?**

We will not share your information for marketing purposes with other companies. In order to deliver our services to you effectively, we may send your details to third parties such as those that we engage for professional compliance, accountancy or legal services, as well as product and platform providers that we use to arrange financial products for you. Where it is necessary for your personal data to be forwarded to a third party we will use appropriate security measures to protect your personal data in transit. Where we can, we will use secure portals. We will also employ encryption and in some cases secure folders. To fulfil our obligations in respect of prevention of money laundering and other financial crime we may send your details to third party agencies for identity verification purposes. We may disclose your information to future owners of our business or where we are required by law to do so.

### **How long do we keep hold of your information?**

In principle, your personal data should not be held for longer than is required under the terms of our Contract for Services with you. However, we are subject to regulatory requirements to retain data for specified minimum periods. We also reserve the right to

retain data for longer than this, due to the possibility that it may be required to defend a future claim against us. In any case, we will not retain your personal data for longer than five years past the time of your death. You have the right to request deletion of your personal data. We will comply with this request, subject to the restrictions of our regulatory obligations and legitimate interests as noted above.

### **How can I access the information you hold about me?**

You have the right to request a copy of the information that we hold about you. If you would like a copy of some or all of your personal information, please email or write to us using the contact details noted below. When your personal data is processed by automated means you have the right to ask us to move your personal data to another organisation for their use. We have an obligation to ensure that your personal information is accurate and up to date. Please ask us to correct or remove any information that you think is incorrect.

### **Other websites**

Our website contains links to other websites. This Privacy Policy only applies to this website so when you link to other websites you should read their own Privacy Policies.

### **What you can do if you are unhappy with how your personal data is processed**

You also have a right to lodge a complaint with the supervisory authority for data protection. In the UK this is:

Information Commissioner's Office  
Wycliffe House  
Water Lane  
Wilmslow  
Cheshire  
SK9 5AF